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BY EXPRESS MAIL ON  
December 19, 2000  
(EH 332 180 770 US)

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Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Niwa TAKASHI  
(2) Kenji KATO  
(3) Nobuyuki KASAMA  
(4) Manabu OUMI  
(5) Yasuyuki MITSUOKA  
(6) Susumu ICHIHARA

TITLE: NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD  
FOR SAME, AND NEAR-FIELD OPTICAL APPARATUS USING THE  
NEAR-FIELD PROBE

DOCKET NO: S004-4168

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

APPLICABLE ITEMS CHECKED:

PATENT APPLICATION (pages 1-43 of specification, 32 claims (pages) 38-43, and abstract of the disclosure (pg.44))  
 DESIGN APPLICATION (specification, claim, declaration and power of attorney and photograph(s))

FORMAL DRAWINGS (17 sheets) (NI A4)

INFORMAL DRAWINGS (       sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

PRIORITY CLAIM - applicant(s) claim the benefit of priority of the following application(s):

Japanese Patent Appln. No. 11-361701  
filed December 20, 1999  
Japanese Patent Appln. No. 2000-130826  
filed April 28, 2000  
Japanese Patent Appln. No. 2000-352778  
filed November 20, 2000

PRIORITY DOCUMENT(s)

Enclosed  Not Enclosed

VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

EXPRESS MAIL CERTIFICATION

OTHER -

FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<input checked="" type="checkbox"/> Basic Filing Fee	\$ 710.00	\$
<input type="checkbox"/> 0 Independent Claims in Excess of 3	\$	\$
<input type="checkbox"/> 12 Total Claims in Excess of 20	\$ 216.00	\$
<input type="checkbox"/> Multiple Claim Fee	\$	\$
<input type="checkbox"/> Assignment Recordal Fee	\$	\$
<b>TOTAL</b>	<b>\$ 926.00</b>	<b>\$</b>

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicants

By:

Bruce L. Adams  
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Re: New patent application of Niwa TAKASHI et al.

for NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD FOR SAME,  
AND NEAR-FIELD OPTICAL APPARATUS USING THE NEAR-FIELD PROBE

comprising transmittal letter, title, specification (pgs.1-43),  
32 claims (pgs.38-43), abstract of the disclosure (pg.44), 17  
sheets of formal drawings containing thereon Figs. 1-26D, express  
mail certification and check in the amount \$926.00

Attorney's Docket No: S004-4168

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

Express Mail Tracking Number: EH 332 180 770 US

Date of Deposit: December 19, 2000

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PATENTS, Washington, DC 20231.

  
Michael Ruas